

# Graph coloring

Lecture notes, vol. 4

Accuracy of lower bounds, probabilistic method and  $\chi$  of some constructions

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## Accuracy of lower bounds

We know that  $\chi(G) \geq \omega(G)$

If  $G = C_{2n+1}$ ,  $n \geq 2$  then  $\omega(G) = 2$ ,  $\chi(G) = 3$

Q: Is there a graph with  $\omega(G) = 2$  and  $\chi(G) = 4$ ?

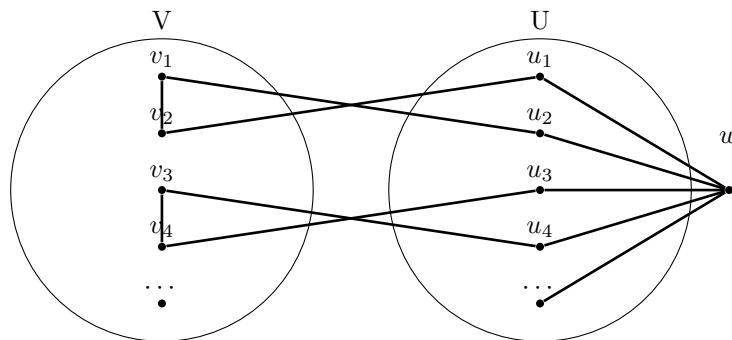
A: Yes the smallest such is the Grötzsch graph on 11 vertices  $G_{11}$

[https://en.wikipedia.org/wiki/Grötzsch\\_graph](https://en.wikipedia.org/wiki/Grötzsch_graph)

It is not possible to bound  $\chi(G)$  in terms of  $\omega(G)$ .

**Theorem 1.** For any  $k \geq 2$  there is a triangle-free graph with  $\chi(G) = k$

**Definition 2.** Suppose  $G$  is a graph with  $V(G) = \{v_1, \dots, v_n\}$ . The Mycielski construction  $M(G)$  is a new graph with  $V(M(G)) = \{v_1, \dots, v_n\} \cup \{u_1, \dots, u_n\} \cup \{w\}$ ,  $E(M(G)) = \{wu_i, i = 1, \dots, n\} \cup \{v_i v_j, u_i v_j : v_i v_j \in E(G)\}$ .



As an example have that  $M(K_2) = C_5$  and  $M(C_5) = G_{11}$ .

**Theorem 3.** (restated) If  $G$  is triangle-free and  $\chi(G) = k$  then  $M(G)$  is triangle free and  $\chi(M(G)) = k + 1$ .

*Proof.* 1.  $M(G)$  is still triangle free

The only possibility is a triangle with 1 vertex from  $U$  and 2 vertices from  $V$ . However, by the definition of  $M(G)$  we then have that  $v_i v_j v_k$  is a triangle, contradicting that  $G$  was triangle-free.

2.  $M(G)$  is  $k + 1$  colorable

We can color  $G$  with  $k$  colors (The set  $V$  in the picture). We use another color ( $k + 1$ ) for all vertices in  $U$ , and the last node  $w$  is colored with some color different from ( $k + 1$ )

3.  $M(G)$  is not  $k$ -colorable.

Suppose otherwise,  $c : V(M(G)) \rightarrow \{1, \dots, k\}$ . Suppose wlog that  $w$  has color  $k$ , then  $U$  is colored with  $k - 1$  colors. (Our goal is to show that we can color  $G$  with  $k - 1$  colors)

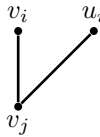
Let  $A = \{v_i \in V, c(v_i) = k\}$ .

Recolor  $A$  by changing the color of each  $v_i$  to  $c(u_i)$

$$c'(v_i) = \begin{cases} c(v_i) & \text{if } c(v_i) \neq k \\ c(u_i) & \text{if } c(v_i) = k \end{cases}$$

$C'$  uses only colors  $\{1, \dots, k - 1\}$ . Let's check that  $c'$  is a coloring of  $G$ . Suppose  $c'(v_i) = c'(v_j)$

- (a) If both of these  $v_i, v_j \notin A$  then  $v_i v_j \notin E(G)$
- (b) If  $v_i \in A, v_j \notin A$ . Suppose  $v_i v_j \in E(G)$ , so that  $u_i v_j \in E(M(G))$



Then  $c(u_i) = c'(v_i) = c'(v_j) = c(v_j)$  Contradicting that  $c$  is a coloring of  $M(G)$

- (c) If  $v_i, v_j \in A$ . Then  $c(v_i) = c(v_j) = k \Rightarrow v_i v_j \notin E(G)$

□

Recap: We can have triangle-free graphs with arbitrarily high  $\chi$ . But is  $M(G)$  just a special construction that achieves this? Not really. We will see that in the following:

## Probabilistic method

Goal: Prove that objects with an interesting property  $P$  exists by showing that a random object has  $P$  with non-zero probability. For example:  $P =$  "triangle-free and  $\chi(G) \geq k$ "

**Definition 4.** (construction). Fix  $V = \{1, \dots, n\}$ ,  $0 \leq p \leq 1$ . Construct a graph on  $V$  by taking each edge  $ij$ ,  $0 \leq i < j \leq n$  with probability  $p$ , independently for each pair.  $G(n, p)$  is the probability space of all graphs obtained in this way.

A graph  $G \in G(n, p)$  has probability

$$\mathbb{P}(G) = p^{|E(G)|} (1-p)^{\binom{n}{2} - |E(G)|}$$

The expected number of edges/triangles in a random graph from  $G(n, p)$  is

$$\mathbb{E}[\#\text{edges}] = \binom{n}{2} \mathbb{P}[ij \text{ is an edge}] = p \binom{n}{2}$$

$$\mathbb{E}[\#\text{of triangles in } G(n, p)] = \binom{n}{3} \mathbb{P}[ijk \text{ is a triangle}] = \binom{n}{3} p^3$$

Sage can generate random graphs from  $G(n, p)$  (`graphs.randomGNP(n, p)`). For the next part we need a few prerequisites:

1.  $1 - x \leq e^{-x}$ ,
2.  $\binom{n}{k} \leq n^k$ ,
3. Markov's inequality: if  $X$  is a non-negative random variable,  $t > 0$ , then  $\mathbb{P}[X > t] \leq \frac{1}{t} \mathbb{E}[X]$ .

**Theorem 5.** For every  $k \geq 2$  there is a triangle-free graph with  $\chi(G) \geq k$ .

Remark: Not only "there is" but "there are many".

*Proof.* Take  $G \in G(n, p)$  where  $p = \frac{1}{n^{5/6}}$ . Let  $X = \#$  of triangles in  $G$ .

$\mathbb{E}[X] = \binom{n}{3} p^3 \leq n^{1/2}$ . By Markov's inequality  $\mathbb{P}[X > 10n^{1/2}] \leq \frac{1}{10}$ , which means that a typical  $G$  has very few triangles.

To show that  $\chi(G)$  is "large" we will prove that  $\alpha(G)$  is "small". Let  $a = \frac{3}{p} \ln n$

$$\mathbb{P}[\alpha(G) \geq a] \leq \binom{n}{a} \mathbb{P}[\text{exists independent set of size } a] = \binom{n}{a} (1-p)^{\binom{a}{2}} \leq n^a e^{-p \binom{a}{2}} \rightarrow 0, \quad n \rightarrow \infty$$

where the last limit can be computed by plugging in the formulae for  $p$  and  $a$  in terms of  $n$ .

For sufficiently large  $n$  we have  $\mathbb{P}[\alpha(G) \geq a] < \frac{1}{10}$ . Then

$$\mathbb{P}[X < 10\sqrt{n} \text{ and } \alpha(G) < a] \geq \frac{8}{10}.$$

We showed, with probability  $\geq \frac{8}{10}$ , a random graph from  $G(n, p)$ ,  $p = \frac{1}{n^{5/6}}$ , has  $< 10\sqrt{n}$  triangles, and  $\alpha(G) < a < 3n^{5/6} \ln n$

Completing the proof:

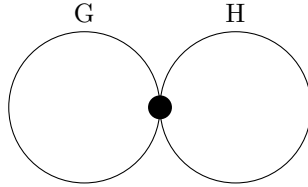
Take such a random  $G$ . Remove at most  $10\sqrt{n}$  vertices so we get a triangle free graph  $G'$   $|V(G')| \geq \frac{n}{2}$  (for large  $n$ ) and

$$\chi(G') \geq \frac{|V(G')|}{\alpha(G')} \geq \frac{n/2}{3n^{5/6} \ln n} = \frac{1}{6} \frac{n^{1/6}}{\ln n} \rightarrow \infty, \quad n \rightarrow \infty$$

So  $\chi(G')$  can be arbitrarily large □

## $\chi$ of some constructions on graphs

1. Disjoint union  $G \sqcup H$ .  $\chi(G \sqcup H) = \max(\chi(G), \chi(H))$ . As  $G \subseteq G \sqcup H \supseteq H$ , we need at least enough colors to color  $H, G$  individually.
2. Wedge  $G \vee H$  Graphs joined at a single vertex.



$\chi(G \vee H) = \max(\chi(G), \chi(H))$ . After coloring  $G$  color  $H$ , perhaps permuting the colors so that they agree on the common vertex..

3. The sum (join)  $G + H$ . It is the disjoint union together with all edges between  $V(G)$  and  $V(H)$   $\chi(G + H) = \chi(G) + \chi(H)$ . As the colors of  $G$  must be different from the colors of  $H$ .
4. The cartesian product  $G \square H$   
 $V(G \square H) = V(G) \times V(H)$   
 $\{(u, v), (u', v')\}$  is an edge iff  $(u = u' \text{ and } vv' \in E(H))$  or  $(uu' \in E(G) \text{ and } v = v')$ .

**Lemma 6.**  $\chi(G \square H) = \max(\chi(G), \chi(H))$

*Proof.*  $G \subseteq G \square H \supseteq H$  so  $\chi(G \square H) \geq \max(\chi(G), \chi(H))$ . Suppose  $G$  and  $H$  both have coloring with color set  $\{0, \dots, k-1\}$  ( $k = \max(\chi(G), \chi(H))$ ). Let these colorings be

$$f : V(G) \rightarrow \{0, \dots, k-1\},$$

$$f' : V(H) \rightarrow \{0, \dots, k-1\}.$$

Define  $F(u, v) = f(u) + f'(v) \pmod k$ . We will check that  $F$  is a coloring. Let  $(u, v)(u', v') \in E(G \square H)$ . Wlog let  $u = u'$  and  $vv' \in E(H)$ , then:

$$\begin{aligned} F(u, v) &= f(u) + f'(v) \pmod k \\ F(u', v') &= f(u') + f'(v') \pmod k \\ &= f(u) + f'(v') \pmod k \\ &\neq f(u) + f'(v) \pmod k \quad \text{because } vv' \in E(H) \\ &= F(u, v). \end{aligned}$$

□

As an exercise, show that  $\chi(G) \geq h$  iff  $\alpha(G \square K_k) \geq |V(G)|$ .